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PTO/SB/05 (11-00) Approved for use through 10/31/2002 OMB 0651-003

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UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No. | | P05414US4 | 97.9 | | |
|---------------------|--------------|----------------------------|------|--|--|
| First Inventor | | MARANAS, Costas D., et al. | | | |
| Title | A MODELING F | RAMEWORK FOR PREDICTING | T] | | |
| | | | | | |

(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Express Mail Label No. | EL 911005175 US

| Comp for new nonprevious at applications and or of it is sold. | | | | | | | |
|---|--|---|--------------|---|---|--|-----------------------------------|
| APPLICATION ELEMENTS ADDRESS TO: Assistant Commissioner for Pate Box Patent Application | | | | | | | |
| See MPEP chapter 600 concerning utility patent application contents. Washington, I | | | | | | | |
| 1. Fee Transmittal F (Submit an original and a Applicant claims s See 37 CFR 1.27 Specification (preferred arrangemen - Descriptive title - Cross Reference - Statement Reg - Reference to se or a computer p - Background of | orm (e.g., PTO/SB/17) duplicate for fee processing) small entity status. [Total Pages 38] of the invention te to Related Applications arding Fed sponsored R & D equence listing, a table, program listing appendix the Invention | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | (if ap a. | CD-ROM or CD-R in Computer Program cotide and/or Amino A pplicable, all necessary Computer Readab pecification Sequence i. CD-ROM of ii. paper Statements verifyi | n duplicate (Appendicid Sequential) (Appendicid Sequen | e, large k) ence S CRF) n: | e table or ubmission s); or |
| | | | A | CCOMPANYING | APPLIC | ATIC | N PARTS |
| - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. | | | | 9. Assignment Papers (cover sheet & document(s)) 10. (a) 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. (b) Information Disclosure Statement (IDS)/PTO-1449 13. Preliminary Amendment 14. (c) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. (c) Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. (c) Other: POWER OF ATTORNEY The requisite information below and in a preliminary amendment, of prior application No 60, 316,683 Group Art Unit. (c) Original Supplied under supplication, from which an oath or declaration is supplied under supplication, from which an oath or declaration is supplied under supplication, from which an oath or declaration is supplied under supplication, from which an oath or declaration is supplied under supplication, from which an oath or declaration is supplied under supplication. | | | |
| The mostportation <u>dan only</u> be | relied upon when a portion has been in 19. CORRESP | | | | pileation | ruits. | |
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| City | | | ate | | Zip C | Code | |
| Country | | Telepho | one | (515)288-3667 | Fa | ìх | (515) 288-1338 |
| Name (Print/Type) | JOHN D. GOODHUE | | Regist | tration No. (Attorne) | (Agent) | | 47,603 |
| Signature | John O. Dord | 1 | | | Dete | 1 | 1/09/2001 |

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PTO/SB/17 (11-01)
Approved for use through 10/31/2002 OMB 0651-0032

| | Approved for use through 10/31/2002 OMB 0651-0032 |
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| FEE | ETR | AN | SMI | TTAL |
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Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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| Cc | omplete if Known |
| Application Number | |
| Filing Date | November 9, 2001 |
| First Named Inventor | MARANAS, COSTAS D., et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | P05413US4 |

| METHOD OF PAYMENT (check all that apply) | | | | FEE CALCULATION (continued) | | | | | | |
|--|----------------------|---|--------------------|-----------------------------|--------|--------|--|----------|--|--|
| Check Credit card Money Other None | | | 3. ADDITIONAL FEES | | | | | | | |
| Deposit Account | | | | Large Entity Small Entity | | | | | | |
| Deposit | | | Fee | Fee | Fee | Fee | Fee Description | Fee Paid | | |
| Account Number | 26-008 | 4 | 105 | 130 | 205 | * * * | | | | |
| Deposit Account | Zarlev, M | cKee Thomte, Voorhees & Sease | | | | 65 | Surcharge - late filing fee or oath | | | |
| Name | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| h 🖊 l | | norized to: (check all that apply) | 139 | 130 | 139 | 130 | Non-English specification | | | |
| | (s) indicated | | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | | |
| _ | | e(s) during the pendency of this application below, except for the filing fee | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to | | | |
| to the above id | | | 440 | 4.0404 | | | Examiner action | | | |
| | FEE | CALCULATION | 113 | 1,840* | 1113 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| 1. BASIC F | ILING FEI | | 115 | 110 | 215 | 55 | Extension for reply within first month | | | |
| Large Entity | | | 116 | 400 | 216 | 200 | Extension for reply within second month | | | |
| Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description Fee Paid | 117 | 920 | 217 | 460 | Extension for reply within third month | | | |
| 101 740 | 201 370 | Utility filing fee 370.00 | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | | |
| 106 330 | 206 165 | Design filing fee | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | | |
| 107 510 | 207 255 | Plant filing fee | 119 | 320 | 219 | 160 | Notice of Appeal | | | |
| 108 740 | 208 370 | Reissue filing fee | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | |
| 114 160 | 214 80 | Provisional filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | | | |
| | | SUBTOTAL (1) (\$) 370.00 | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | |
| . =>/=== . | | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | | |
| 2. EXTRA C | LAIM FE | ES FOR UTILITY AND REISSUE | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | | |
| | [4E] | Extra Claims below Fee Paid | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | | |
| Total Claims Independent | | 0** = 0 X 0 = 0 | 143 | 460 | 243 | 230 | Design issue fee | | | |
| Claims | ستسا | 3** = 0 X 0 = 0 | 144 | 620 | 244 | 310 | Plant issue fee | | | |
| Multiple Depen | ident | 0 = 0 | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | | |
| Large Entity | Small Enti | h. | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| Fee Fee | Fee Fee | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | | |
| Code (\$) 103 18 | Code (\$) 203 9 | Claims in excess of 20 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| 102 84 | 202 42 | Independent claims in excess of 3 | 146 | 740 | 246 | 370 | Filing a submission after final rejection | | | |
| 104 280 | 204 140 | Multiple dependent claim, if not paid | | | | | (37 CFR § 1.129(a)) | | | |
| 109 84 | 209 42 | ** Reissue independent claims over original patent | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1 129(b)) | | | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | |
| | | and over original patent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | | |
| SUBTOTAL (2) (\$) 0 | | | | fee (sp | ecify) | | | | | |
| **or number | previously p | aid, if greater, For Reissues, see above | *Redi | iced by | Basic | Filing | Fee Paid SUBTOTAL (3) (\$) | 0 | | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|-----------------|-----------------------------------|--------|-----------|----------------|--|
| Name (Print/Type) | JOHN D. GOODHUE | Registration No. (Attorney/Agent) | 47,603 | Telephone | (515) 288-3667 | |
| Signature | lin D. Della | | | Date | 11/09/2001 | |

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